

06-27-01

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Express Mail No. EL128287584US

Docket No. 22674-7021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Larry Ragle
 Serial No.: New Application
 Filing Date: Herewith
 Title: INTEGRATED COLOR LED CHIP

1011 U.S. PTO
 09/891972
 06/26/01

UTILITY PATENT APPLICATION & FEE TRANSMITTAL

(for nonprovisional applications under 37 CFR § 1.53(b))

Commissioner for Patents
BOX PATENT APPLICATION
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

I. Elements of the Application

- ☒ Application [1] page(s) of Cover Sheet
 [15] page(s) of Specification
 [6] page(s) of Claims (40 claims)
 [1] page(s) of Abstract
 [3] sheets of Drawings ☐ Formal ☒ Informal
- ☒ Declaration and Power of Attorney [3] page(s)
☒ Unexecuted ☐ Executed ☐ Copy from prior application (37CFR § 1.63(d))
- ☐ Deletion of Inventors: ☐ page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Nucleotide and/or Amino Acid Sequence Submission:
☐ page(s) of Sequence Listing (paper copy)
☐ disk(s) containing Sequence Listing (computer readable copy)
☐ page(s) of Statement Under 37 CFR 1.821(f)
- ☐ Microfiche Computer Program Appendix _____

II. Claim for U.S. Priority

- ☐ This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-Part
 of Prior Application No. _____ filed _____. (35 USC § 120)
- ☒ This application claims the benefit under 35 U.S.C. § 119(e) of United States Application Serial
 No. **60/214,505** filed **June 28, 2000**. (Provisional Application)
- ☐ The entire disclosure of the prior application referenced above, is considered as being part of the application
 filed herewith and is herein incorporated by reference in its entirety.

III. Claim for Foreign Priority

- ☐ This application claims the benefit under 35 USC § 119 of Prior Application No. _____
 filed _____.
- ☐ Certified Copy of Priority Document ☐ page(s)
- ☐ English Translation of Priority Document ☐ page(s)

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IV. Accompanying Documents

- ☐ Assignment Papers [] page(s) of Recordation Cover Sheet [] page(s) of Assignment
- ☒ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [] Verified Small Entity Statement was previously filed in Prior Application No. _____ on _____.
- ☐ Information Disclosure Statement [] page(s) of PTO-1449 [] copies of IDS References
- ☐ A Preliminary Amendment [] page(s)
- ☐ A copy of a Petition for Extension of Time (_ mos.) filed simultaneously in Prior Application No. _____
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. _____
- ☐ Other _____ (specify)
- ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

V. Fee Calculation

<input type="checkbox"/> The following fees are submitted:				CALCULATIONS	
		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$	
BASIC NATIONAL FILING FEE		\$ 710.00	\$ 355.00	\$355.00	
EXTRA CLAIMS FEE					
CLAIMS	# FILED	# EXTRA	RATE	RATE	
Total Claims	40	20	× \$18.00	× \$ 9.00	\$180.00
Independent claims	6	3	× \$80.00	× \$40.00	\$120.00
MULTIPLE DEPENDENT CLAIM(S)					
<input type="checkbox"/> Yes <input type="checkbox"/> No		\$270.00	\$135.00	\$0.00	
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31).					
_____ (Numbers of Applications) × \$40.00				\$0.00	
OTHER FEES _____ (specify)				\$0.00	
OTHER FEES _____ (specify)				\$0.00	
TOTAL FEES =				\$655.00	

- a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.:50-1193, Docket No. 22674-7021, in the amount of \$655.00 to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, Docket No. 22674-7021. *A duplicate copy of this sheet is enclosed*

SIGNATURE

DATE

David G. Beck
NAME37.776
REGISTRATION NUMBER**CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10**

I, Pam Pascual, hereby certify that this paper and all enclosures are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR § 1.10 on June 26, 2001, Express Mail Label EL128287584US, and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

Pam Pascual
Signature of Person Mailing